



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pedlow, Jr. et al.

Group Art Unit: 2623

Serial No.: 10/764,011

Examiner: Grant, Christopher C.

Filed: 1/23/2004

Title: Bi-Directional Indices for Trick  
Mode Video-on-Demand

Attorney Docket No.: SNY-T5710.01

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

The undersigned submits herewith patents, publications or other information (enclosed herewith and/or listed on the enclosed list of references form) of which he is aware, which he believes is relevant and may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56. The order of listing of the references on the attached form and any appendix hereto is without regard for relative relevance to the present invention.

This Information Disclosure Statement is submitted:

☒ Under 37 CFR §1.97(b).

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ Under 37 CFR §1.97(c).

☐ Below is a statement under 37 CFR §1.97(e), or

☐ An IDS submission fee under 37 CFR §1.17(p).

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first. Either a statement under 37 CFR 1.97(e) or an IDS submission fee is required.)

☐ Under 37 CFR §1.97(d).

☐ Below is a statement under 37 CFR §1.97(e), and

☐ An IDS submission fee set forth in 37 CFR §1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

The undersigned hereby certifies under 37 CFR §1.97(e) that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☒ No item of information contained in the Information Disclosure Statement:
  - were cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, were known to an individual designated in 37 CFR §1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

A concise explanation of relevance of the items listed on the attached form:

- ☒ Additional information is provided in an appendix to this communication.
- ☐ Appears in the body of the application.
- ☐ Is given for non-English language listed item(s) [Required] and appears in an appendix to this communication.
- ☐ Is in the form of an English language copy of a Search Report (copy attached) from a foreign patent office, issued in a counterpart application which refers to the relevant portions of the references.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, this statement does not constitute an admission that any patent, publication or other information referred to therein is "prior art" or "material" to this invention or any application for patent for this invention.

In accordance with 37 CFR §1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other information that may be material as defined in 37 CFR §1.56 exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.97 and §1.98 and MPEP §609. The Examiner is requested to fully consider each of these references and acknowledge such consideration by appropriately initialing the attached form and returning a copy to the address below. In addition, the Examiner is requested to conduct a thorough independent search in order to bring the best references available forward in this application.

- [X] No fee is believed to be required for this IDS.
- [ ] A check for the above fees is enclosed.
- [ ] Please charge the IDS fee of \$ 180 under 37 CFR §1.17(p) to my credit card. See the enclosed credit card charge authorization form.
- [X] The commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to my Deposit Account No. 50-1267.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is, on the date shown below, being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

/Jerry A. Miller 30779/ 8/31/2007

JERRY A. MILLER Reg. No. 30,779  
(Applicant, Assignee or Reg. Representative)

Signature

Date

Respectfully submitted,  
/Jerry A. Miller 30779/

\_\_\_\_\_  
Jerry A. Miller  
Registration No. 30,779

Dated: 8/31/2007

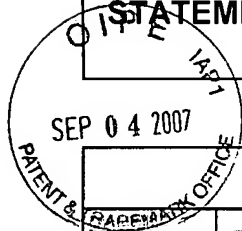
Please Send Correspondence to:  
**CUSTOMER NUMBER 24337**  
Miller Patent Services  
2500 Dockery Lane  
Raleigh, NC 27606  
Phone: (919)-816-9981  
Fax: (919)-816-9982

## APPENDIX

These references are cited in one or more applications related to this patent application in either US or Foreign Office Actions or Search Reports. In some instances, art cited in this and other IDS may not constitute prior art to the present application. Below is a list of references received with reference to the corresponding application number.

Document Number	Issue/Pub. Date	Name	Filing Date	Disclosure from
5,973,726	10/26/1999	Iijima et al.	3/17/1997	10/634,546
2003/0035482	02/20/2003	Klompenhouwer et al.	8/15/2002	10/634,546
2005/0198586	09/08/2005	Sekiguchi et al.	04/25/2005	10/634,546
Microsoft Screen Shots and Help Files	October 2001	Microsoft Windows XP		10/634,546
2002/0144260	10/03/2002	Devara	03/29/2001	10/774,871
2002/0157116	10/24/2002	Lu	04/24/2001	10/774,871
2003/0028879	02/06/2003	Gordon et al.	10/07/2002	10/774,871
2003/0046687	03/06/2003	Hodges et al.	03/14/2002	10/774,871
2004/0172650	09/02/2004	Hawkins et al.	02/28/2003	10/774,871
2004/0247122	12/09/2004	Hobrock et al.	04/24/2003	10/774,871
6,883,050	04/19/2005	Safadi	08/09/2001	10/774,871
2004/0261099	12/23/2004	Durden et al.	12/29/2000	10/667,614
7,096,481	08/22/2006	Forecast et al.	03/31/2000	10/667,614
MPEG-2 Digital Broadcast Pocket Guide Vol. 6	2001	Acterna, LLC		10/667,614
5,526,427	06/11/1996	Thomas et al.	12/08/1994	10/763,865
6,707,696	03/16/2004	Turner et al.	05/15/2003	10/763,865
5,151,782	09/29/1992	Ferraro	05/17/1989	10/387,163
5,414,852	05/09/1995	Kramer et al.	10/30/1992	10/387,163
5,696,906	12/09/1997	Peters et al.	03/09/1995	10/387,163
5,726,702	03/10/1998	Hamaguchi et al.	02/23/1995	10/387,163
6,134,237	10/17/2000	Brailean et al.	09/30/1997	10/387,163
6,222,924	04/24/2001	Salomaki	01/29/1997	10/387,163
6,223,290	04/24/2001	Larsen et al.	05/07/1998	10/387,163
6,351,813	02/26/2002	Mooney et al.	08/07/1998	10/387,163
6,418,169	07/09/2002	Datari	08/06/1998	10/387,163
6,424,717	07/23/2002	Pinder et al.	12/16/1999	10/387,163
6,452,923	09/17/2002	Gerszberg et al.	12/31/1998	10/387,163
2002/0021805	02/21/2002	Schumann et al.	06/15/2001	10/387,163
6,526,144	02/25/2002	Markandey et al.	06/02/1998	10/387,163
2003/0035540	02/20/2003	Freeman et al.	08/17/2001	10/387,163
2003/0035543	02/20/2003	Gillon	8/15/2001	10/387,163
6,550,008	04/15/2003	Zhang et al.	02/26/1999	10/387,163
2003/0188164	10/02/2003	Okimoto et al.	03/27/2002	10/387,163
6,640,305	10/28/2003	Kocher et al.	09/06/2001	10/387,163
6,788,882	09/07/2004	Geer et al.	04/17/1998	10/387,163
7,039,802	05/02/2006	Eskicioglu et al.	06/05/1998	10/387,163
7,146,007	12/05/2006	Maruo et al.	03/29/2000	10/387,163

<b>INFORMATION DISCLOSURE STATEMENT</b>	Application No.: 10/764,011	Sheet 1 of 2
	Docket No.: SNY-T5710.01	Group: 2623
	Filed: 01/23/2004	Conf. No.: 8949
	Applicant: Pedlow et al.	



U.S. PATENT DOCUMENTS					
Exam. Init.	Document Number	Issue/Pub. Date	Name	Class	Filing Date
	US 5,151,782	09/29/1992	Ferraro		05/17/1989
	US 5,414,852	05/09/1995	Kramer et al.		10/30/1992
	US 5,526,427	06/11/1996	Thomas et al.		12/08/1994
	US 5,696,906	12/09/1997	Peters et al.		03/09/1995
	US 5,726,702	03/10/1998	Hamaguchi et al.		02/23/1995
	US 5,973,726	10/26/1999	Iijima et al.		03/17/1997
	US 6,134,237	10/17/2000	Brailean et al.		09/30/1997
	US 6,222,924	04/24/2001	Salomaki		01/29/1997
	US 6,223,290	04/24/2001	Larsen et al.		05/07/1998
	US 2002/0021805	02/21/2002	Schumann et al.		06/15/2001
	US 6,351,813	02/26/2002	Mooney et al.		08/07/1998
	US 6,418,169	07/09/2002	Datari		08/06/1998
	US 6,424,717	07/23/2002	Pinder et al.		12/16/1999
	US 6,452,923	09/17/2002	Gerszberg et al.		12/31/1998
	US 2002/0144260	10/03/2002	Devara		03/29/2001
	US 2002/0157115	10/24/2002	Lu		04/24/2001
	US 2003/0028879	02/06/2003	Gordon et al.		10/07/2002
	US 2003/0035482	02/20/2003	Klompshouwer et al.		08/15/2002
	US 2003/0035540	02/20/2003	Freeman et al.		08/17/2001
	US 2003/0035543	02/20/2003	Gillon		08/15/2001
	US 6,526,144	02/25/2003	Markandey et al.		06/02/1998
	US 2003/0046687	03/06/2003	Hodges et al.		03/14/2002
	US 6,550,008	04/15/2003	Zhang et al.		02/26/1999
	US 2003/0188164	10/02/2003	Okimoto et al.		03/27/2002
	US 6,640,305	10/28/2003	Kocher et al.		09/06/2001
	US 6,707,696	03/16/2004	Turner et al.		05/15/2003
	US 2004/0172650	09/02/2004	Hawkins et al.		02/28/2003
	US 6,788,882	09/07/2004	Geer et al.		04/17/1998
	US 2004/0247122	12/09/2004	Hobrock et al.		04/24/2003
	US 2004/0261099	12/23/2004	Durden et al.		12/29/2000
	US 6,883,050	04/19/2005	Safadi		08/09/2001
	US 2005/0198586	09/08/2005	Sekiguchi et al.		04/25/2005
	US 7,039,802	05/02/2006	Eskicioglu et al.		06/05/1998

Examiner:	Date:
-----------	-------

<b>INFORMATION DISCLOSURE STATEMENT</b>	Application No.: 10/764,011	Sheet 2 of 2
	Docket No.: SNY-T5710.01	Group: 2623
	Filed: 01/23/2004	Conf. No.: 8949
	Applicant: Pedlow et al.	

	US 7,096,481	08/22/2006	Forecast et al.		03/31/2000
	US 7,146,007	12/05/2006	Maruo et al.		03/29/2000

FOREIGN PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS / CITATIONS	
Exam. Init.	Document
	Microsoft Windows XP, October 2001, Microsoft, Screen Shots and Help Files
	MPEG-2 Digital Broadcast Pocket Guide Vol. 6, Copyright 201 Acterna, LLC

Examiner:	Date:
-----------	-------